

# STABILITY AND WALL-CROSSING

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# 1. Hearts and tilting

## DEFINITION OF A TORSION PAIR

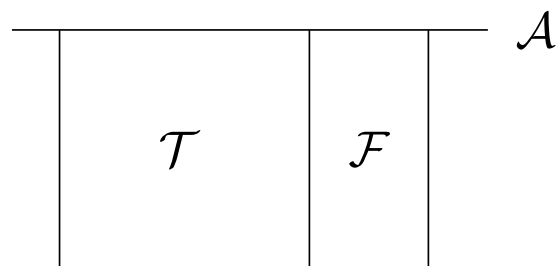
Let  $\mathcal{A}$  be an abelian category.

A torsion pair  $(\mathcal{T}, \mathcal{F}) \subset \mathcal{A}$  is a pair of full subcategories such that:

- (A)  $\text{Hom}(T, F) = 0$  for  $T \in \mathcal{T}$  and  $F \in \mathcal{F}$ .
- (B) for every object  $E \in \mathcal{A}$  there is a short exact sequence

$$0 \longrightarrow T \longrightarrow E \longrightarrow F \longrightarrow 0$$

for some pair of objects  $T \in \mathcal{T}$  and  $F \in \mathcal{F}$ .



## DEFINITION OF A HEART

Let  $D$  be a triangulated category.

A heart  $\mathcal{A} \subset D$  is a full subcategory such that:

- (A)  $\text{Hom}(A[j], B[k]) = 0$  for all  $A, B \in \mathcal{A}$  and  $j > k$ .
- (B) for every object  $E \in D$  there is a finite filtration

$$0 = E_m \rightarrow E_{m+1} \rightarrow \cdots \rightarrow E_{n-1} \rightarrow E_n = E$$

with factors  $F_j = \text{Cone}(E_{j-1} \rightarrow E_j) \in \mathcal{A}[-j]$ .



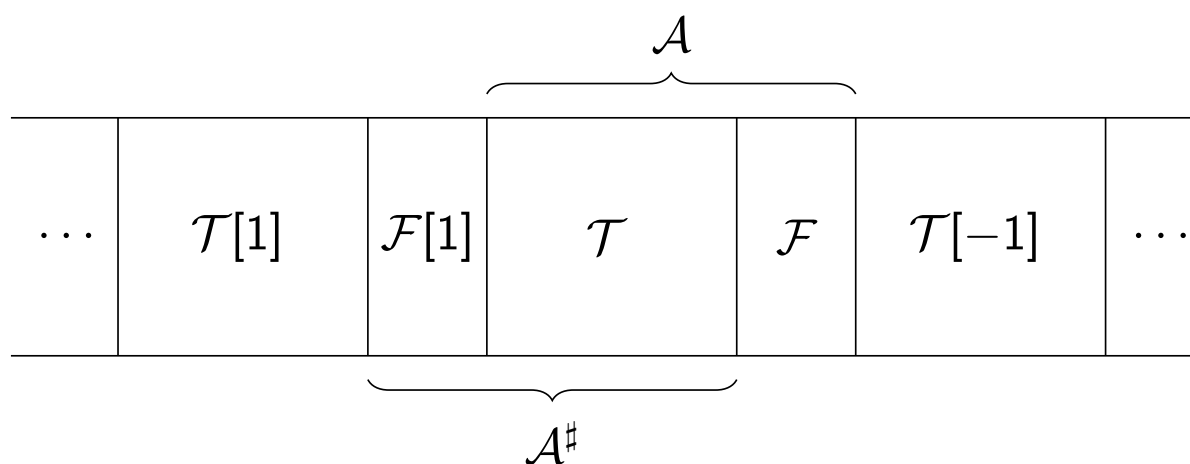
## PROPERTIES OF HEARTS

- (I) It would be more standard to say that  $\mathcal{A} \subset D$  is the heart of a bounded t-structure on  $D$ . But any such t-structure is determined by its heart.
- (II) The basic example is  $\mathcal{A} \subset D^b(\mathcal{A})$ .
- (III) In analogy with that case we define  $H_{\mathcal{A}}^j(E) := F_j[j] \in \mathcal{A}$ .
- (IV)  $\mathcal{A}$  is automatically an abelian category.
- (V) The short exact sequences in  $\mathcal{A}$  are precisely the triangles in  $D$  all of whose terms lie in  $\mathcal{A}$ .
- (VI) The inclusion functor gives an identification  $K_0(\mathcal{A}) \cong K_0(D)$ .

## THE TILT OF A HEART AT A TORSION PAIR

Suppose  $\mathcal{A} \subset D$  is a heart, and  $(\mathcal{T}, \mathcal{F}) \subset \mathcal{A}$  a torsion pair.

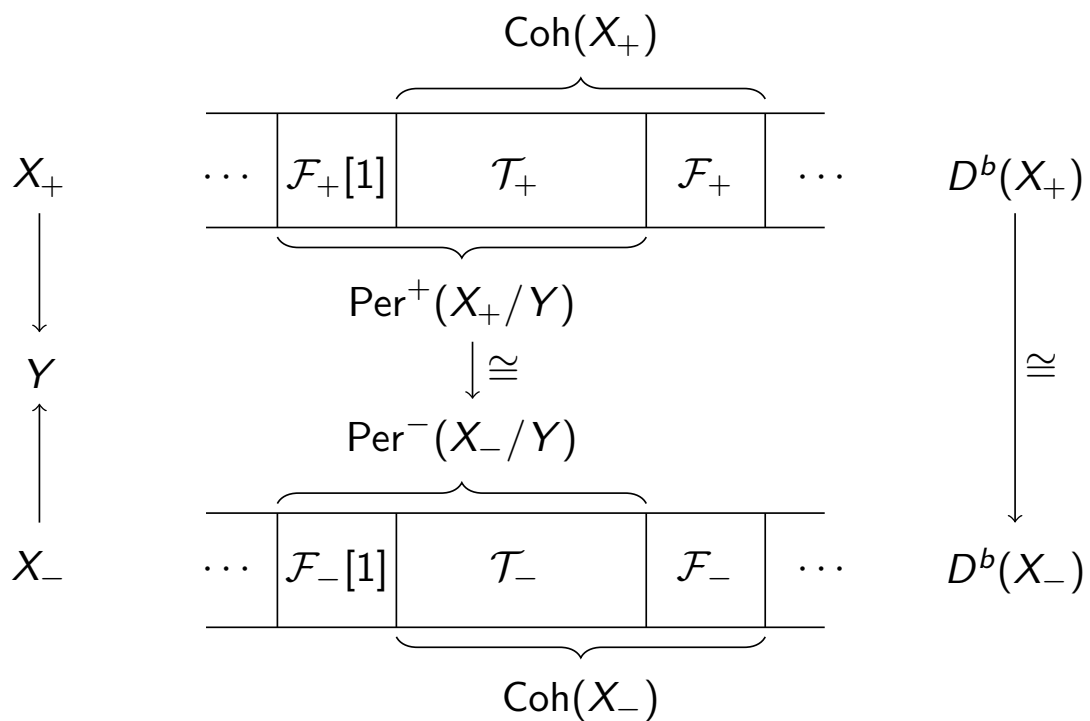
We can define a new, tilted heart  $\mathcal{A}^\# \subset D$  as in the picture.



An object  $E \in D$  lies in  $\mathcal{A}^\# \subset D$  precisely if

$$H_{\mathcal{A}}^{-1}(E) \in \mathcal{F}, \quad H_{\mathcal{A}}^0(E) \in \mathcal{T}, \quad H_{\mathcal{A}}^i(E) = 0 \text{ otherwise.}$$

# EXAMPLE OF TILTING: THREEFOLD FLOP



$$\mathcal{F}_+ = \langle \mathcal{O}_{C_+}(-i) \rangle_{i \geq 1}, \quad \mathcal{F}_- = \langle \mathcal{O}_{C_-}(-i) \rangle_{i \geq 2}.$$

## STABLE PAIRS AS QUOTIENTS IN A TILT

Consider tilting  $\mathcal{A} = \text{Coh}(X) \subset D(X)$  with respect to the torsion pair

$$\mathcal{T} = \{E \in \text{Coh}(X) : \dim_{\mathbb{C}} \text{supp}(E) = 0\},$$

$$\mathcal{F} = \{E \in \text{Coh}(X) : \text{Hom}_X(\mathcal{O}_x, E) = 0 \text{ for all } x \in X\}.$$

$$\begin{array}{c}
 \mathcal{A}^\# \\
 \hline
 \cdots \quad \mathcal{T} \quad \mathcal{F} \quad \mathcal{T}[-1] \quad \cdots \\
 \hline
 \mathcal{A}
 \end{array}$$

Note that  $\mathcal{O}_X \in \mathcal{F} \subset \mathcal{A}^\#$ . We claim that

$$\text{Pairs}(\beta, n) = \left\{ \begin{array}{l} \text{quotients } \mathcal{O}_X \twoheadrightarrow E \text{ in } \mathcal{A}^\# \\ \text{with } \text{ch}(E) = (0, 0, \beta, n) \end{array} \right\}.$$



## PROOF OF THE CLAIM ABOUT STABLE PAIRS

Given a short exact sequence in the category  $\mathcal{A}^\sharp$

$$0 \longrightarrow J \longrightarrow \mathcal{O}_X \xrightarrow{f} E \longrightarrow 0,$$

we take cohomology with respect to the standard heart  $\mathcal{A} \subset D$ .

$$0 \rightarrow H_{\mathcal{A}}^0(J) \rightarrow \mathcal{O}_X \xrightarrow{f} H_{\mathcal{A}}^0(E) \rightarrow H_{\mathcal{A}}^1(J) \rightarrow 0 \rightarrow H_{\mathcal{A}}^1(E) \rightarrow 0.$$

$$\begin{array}{ccccccc}
 & & & \mathcal{A}^\sharp & & & \\
 & & & \underbrace{\hspace{2cm}} & & & \\
 \cdots & | & \mathcal{T} & | & \mathcal{F} & | & \mathcal{T}[-1] & | & \cdots \\
 & & \underbrace{\hspace{2cm}} & & & & & & \\
 & & \mathcal{A} & & & & & & 
 \end{array}$$

It follows that  $E \in \mathcal{A} \cap \mathcal{A}^\sharp = \mathcal{F}$  and  $\text{coker}(f) = H_{\mathcal{A}}^1(J) \in \mathcal{T}$ .

## LAST TIME ...

(A) Hall algebras:  $\text{Hall}_{\text{fty}}(\mathcal{C})$ ,  $\text{Hall}_{\text{mot}}(\mathcal{C})$ .

$$\begin{array}{c} 0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0 \\ \swarrow \quad \searrow \\ (A, C) \quad B \\ \mathcal{M} \times \mathcal{M} \xleftarrow{(a,c)} \mathcal{M}^{(2)} \xrightarrow{b} \mathcal{M} \end{array}$$

(B) Character map  $\text{ch}: K_0(\mathcal{C}) \rightarrow N \cong \mathbb{Z}^{\oplus n}$ .

(C) Quantum torus:  $\mathbb{C}_q[N] = \bigoplus_{\alpha \in N} \mathbb{C}(t) \cdot x^\alpha$  with

$$x^\alpha * x^\gamma = q^{-\frac{1}{2}(\gamma, \alpha)} \cdot x^{\alpha + \gamma}.$$

(D) Integration map:  $\mathcal{I}: \text{Hall}(\mathcal{C}) \rightarrow \mathbb{C}_q[N]$ .

## POSITIVE CONES AND COMPLETIONS

Choosing a basis  $(e_1, \dots, e_n)$  for the group  $N$  gives an identification

$$\mathbb{C}[N] = \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}].$$

We often need to use the positive cone

$$N_+ = \left\{ \sum_{i=1}^n \lambda_i e_i : \lambda_i \geq 0 \right\} \subset N,$$

and the associated completion

$$\mathbb{C}[[N_+]] \cong \mathbb{C}[[x_1, \dots, x_n]].$$

We can similarly define the completed quantum torus  $\mathbb{C}_q[[N_+]]$ .

## SKETCH PROOF OF THE DT/PT IDENTITY

- (I) Reineke's identity:  $\delta_{\mathcal{A}}^{\mathcal{O}} = \text{Quot}_{\mathcal{A}}^{\mathcal{O}} * \delta_{\mathcal{A}}$  and  $\delta_{\mathcal{A}^{\#}}^{\mathcal{O}} = \text{Quot}_{\mathcal{A}^{\#}}^{\mathcal{O}} * \delta_{\mathcal{A}^{\#}}$ .
- (II) Torsion pair identities:  $\delta_{\mathcal{A}} = \delta_{\mathcal{T}} * \delta_{\mathcal{F}}$  and  $\delta_{\mathcal{A}^{\#}} = \delta_{\mathcal{F}} * \delta_{\mathcal{T}[-1]}$ .

$$\begin{array}{c}
 \mathcal{A}^{\#} \\
 \hline
 \cdots \quad \mathcal{T} \quad \mathcal{F} \quad \mathcal{T}[-1] \quad \cdots \\
 \hline
 \mathcal{A}
 \end{array}$$

- (III) Torsion pair identities with sections:

$$\delta_{\mathcal{A}}^{\mathcal{O}} = \delta_{\mathcal{T}}^{\mathcal{O}} * \delta_{\mathcal{F}}^{\mathcal{O}} \quad \text{and} \quad \delta_{\mathcal{A}^{\#}}^{\mathcal{O}} = \delta_{\mathcal{F}}^{\mathcal{O}} * \delta_{\mathcal{T}[-1]}^{\mathcal{O}}.$$

- (IV) All maps  $\mathcal{O}_X \rightarrow \mathcal{T}[-1]$  are zero, so  $\delta_{\mathcal{T}[-1]}^{\mathcal{O}} = \delta_{\mathcal{T}[-1]}$ .

## CONCLUSION OF THE SKETCH PROOF

- (V) Reineke's identity again:  $\delta_{\mathcal{T}}^{\mathcal{O}} = \text{Quot}_{\mathcal{T}}^{\mathcal{O}} * \delta_{\mathcal{T}}$ .
- (VI) Putting it all together:  $\text{Quot}_{\mathcal{A}}^{\mathcal{O}} * \delta_{\mathcal{T}} = \text{Quot}_{\mathcal{T}}^{\mathcal{O}} * \delta_{\mathcal{T}} * \text{Quot}_{\mathcal{A}^{\#}}^{\mathcal{O}}$ .
- (VII) Restrict to sheaves supported in dimension  $\leq 1$ . The Euler form is then trivial so the quantum torus is commutative. Thus

$$\mathcal{I}(\text{Quot}_{\mathcal{A}}^{\mathcal{O}}) = \mathcal{I}(\text{Quot}_{\mathcal{T}}^{\mathcal{O}}) * \mathcal{I}(\text{Quot}_{\mathcal{A}^{\#}}^{\mathcal{O}}).$$

- (VIII) Setting  $t = \pm 1$  then gives the required identity

$$\sum_{\beta, n} \text{DT}(\beta, n) x^{\beta} y^n = \sum_n \text{DT}(0, n) y^n \cdot \sum_{\beta, n} \text{PT}(\beta, n) x^{\beta} y^n.$$

## 2. Generalized DT invariants

## MODULI SPACES OF FRAMED SHEAVES

Let  $X$  be a Calabi-Yau threefold.

So far we have been discussing moduli spaces of objects in the category  $D^b \text{Coh}(X)$  equipped with a kind of framing.

### EXAMPLE

The Hilbert scheme parameterizes sheaves  $E \in \text{Coh}(X)$  equipped with a surjective map  $f: \mathcal{O}_X \twoheadrightarrow E$ .

- (I) This framing data eliminates all stabilizer groups, so the moduli space is a scheme, and therefore has a well-defined Euler characteristic.
- (II) In this context wall-crossing can be achieved by varying the t-structure on the derived category  $D^b \text{Coh}(X)$ .

## WHAT ABOUT UNFRAMED DT INVARIANTS?

Fix a polarization of  $X$  and a class  $\alpha \in N$ , and consider the stack

$$\mathcal{M}^{ss}(\alpha) = \{E \in \text{Coh}(X) : E \text{ is semistable with } \text{ch}(E) = \alpha\}.$$

- (A) In the case when  $\alpha$  is primitive, and the polarization is general, this stack is a  $\mathbb{C}^*$ -gerbe over its coarse moduli space  $M^{ss}(\alpha)$ , and we set

$$\text{DT}^{\text{naive}}(\alpha) = e(M^{ss}(\alpha)) \in \mathbb{Z}.$$

Genuine DT invariants are defined using virtual cycles or by a weighted Euler characteristic as before.

- (B) In the general case, Joyce figured out how to define invariants

$$\text{DT}^{\text{naive}}(\alpha) \in \mathbb{Q}$$

with good properties, and showed that they satisfy wall-crossing formulae as the polarization is varied.



## QUANTUM AND CLASSICAL DT INVARIANTS

(A) The generating function for the quantum DT invariants is

$$q\text{-DT}_\mu = \mathcal{I}([\mathcal{M}^{ss}(\mu) \subset \mathcal{M}]) \in \mathbb{C}_q[[N_+]].$$

(B) The generating function for the classical DT invariants is

$$\text{DT}_\mu = \lim_{q \rightarrow 1} (q - 1) \cdot \log q\text{-DT}_\mu \in \mathbb{C}[[N_+]].$$

A difficult result of Joyce shows that this limit exists in general.

(C) The DT invariants are also encoded by the Poisson automorphism

$$\mathcal{S}_\mu = \exp \{ \text{DT}_\mu, - \} \in \text{Aut } \mathbb{C}[[N_+]].$$

This coincides with the  $q = 1$  limit of conjugation by  $q\text{-DT}_\mu$ .

## EXAMPLE: A SINGLE RIGID STABLE BUNDLE

Suppose there is a single rigid stable bundle  $E$  of slope  $\mu$ . Then

$$\mathcal{M}^{ss}(\mu) = \{E^{\oplus n} : n \geq 0\} = \bigsqcup_{n \geq 0} \text{BGL}(n, \mathbb{C}).$$

Set  $\alpha = \text{ch}(E) \in N$ . Applying the integration map we calculate

(A) The quantum DT generating function is

$$\text{q-DT}_{\mu} = \sum_{n \geq 0} \frac{x^{n\alpha}}{(q^n - 1) \cdots (q - 1)} \in \mathbb{C}_q[[N_+]].$$

We recognise the quantum dilogarithm  $\Phi_q(x^\alpha)$ .

## A SINGLE STABLE BUNDLE CONTINUED

(B) The classical DT generating function is

$$\mathrm{DT}_\mu = \lim_{q \rightarrow 1} (q - 1) \cdot \log \Phi_q(x^\alpha) = \sum_{n \geq 1} \frac{x^{n\alpha}}{n^2}$$

and we conclude that  $\mathrm{DT}(n\alpha) = 1/n^2$ .

(C) The Poisson automorphism  $\mathcal{S}_\mu \in \mathrm{Aut} \mathbb{C}[[N_+]]$  is

$$\mathcal{S}_\mu(x^\beta) = \exp \left\{ \sum_{n \geq 1} \frac{x^{n\alpha}}{n^2}, - \right\} (x^\beta) = x^\beta \cdot (1 + x^\alpha)^{\langle \alpha, \beta \rangle}$$

where the RHS should be expanded as a power series.

### 3. Stability conditions

# STABILITY CONDITIONS

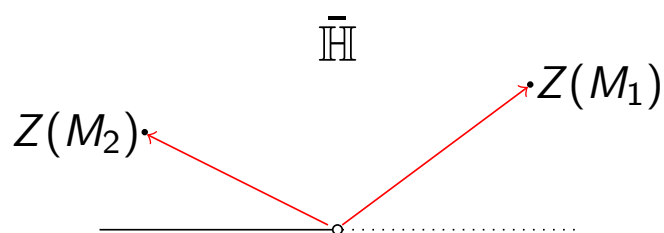
Let  $\mathcal{A}$  be an abelian category.

## DEFINITION

A stability condition on  $\mathcal{A}$  is a map of groups  $Z: K_0(\mathcal{A}) \rightarrow \mathbb{C}$  such that

$$0 \neq E \in \mathcal{A} \implies Z(E) \in \bar{\mathbb{H}},$$

where  $\bar{\mathbb{H}} = \mathbb{H} \cup \mathbb{R}_{\leq 0}$  is the semi-closed upper half-plane.



# PHASES AND STABILITY

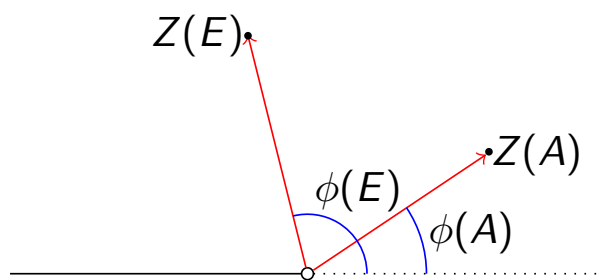
## DEFINITIONS

(A) The phase of a nonzero object  $E \in \mathcal{A}$  is

$$\phi(E) = \frac{1}{\pi} \arg Z(E) \in (0, 1],$$

(B) An object  $E \in \mathcal{A}$  is  $Z$ -semistable if

$$0 \neq A \subset E \implies \phi(A) \leq \phi(E).$$



## HARDER-NARASIMHAN FILTRATIONS

### DEFINITION

A stability condition  $Z$  has the Harder-Narasimhan property if every object  $E \in \mathcal{A}$  has a filtration

$$0 = E_0 \subset E_1 \subset \cdots \subset E_n \subset E$$

such that each factor  $F_i = E_i/E_{i-1}$  is  $Z$ -semistable and

$$\phi(F_1) > \cdots > \phi(F_n).$$

- (I) If  $\mathcal{A}$  has finite length this condition is automatic.
- (II) When they exist, HN filtrations are necessarily unique, because the usual argument shows that if  $F_1, F_2$  are  $Z$ -semistable then

$$\phi(F_1) > \phi(F_2) \implies \text{Hom}(F_1, F_2) = 0.$$

## ANOTHER REINEKE IDENTITY

Let  $\mathcal{C}$  be a finitary abelian category equipped with a stability condition  $Z$  having the Harder-Narasimhan property. Let

$$\delta^{\text{ss}}(\phi) \in \widehat{\text{Hall}}_{\text{fty}}(\mathcal{A})$$

be the characteristic function of  $Z$ -semistable objects of phase  $\phi \in \mathbb{R}$ .

### LEMMA (REINEKE)

*There is an identity  $\delta_{\mathcal{C}} = \prod_{\phi \in \mathbb{R}}^{\rightarrow} \delta^{\text{ss}}(\phi)$ .*

### PROOF.

The product is taken in descending order of phase. The result follows from existence and uniqueness of the HN filtration.  $\square$



## WALL-CROSSING FORMULA

- (A) The LHS of the above identity is independent of  $Z$  so given two stability conditions we get a wall-crossing formula

$$\prod_{\phi \in \mathbb{R}}^{\rightarrow} \delta^{\text{ss}}(\phi, Z_1) = \prod_{\phi \in \mathbb{R}}^{\rightarrow} \delta^{\text{ss}}(\phi, Z_2).$$

- (B) If  $\mathcal{C}$  has global dimension  $\leq 1$  we can apply the integration map  $\mathcal{I}$  to get an identity in the ring  $\mathbb{C}_q[[N_+]]$ .
- (C) We can then take the  $q = 1$  limit and obtain an identity in the group of automorphisms of the Poisson algebra  $\mathbb{C}[[N^+]]$ .

## EXAMPLE: THE $A_2$ QUIVER

Let  $\mathcal{C}$  be the abelian category of representations of the  $A_2$  quiver. It has 3 indecomposable representations:

$$0 \longrightarrow S_2 \longrightarrow E \longrightarrow S_1 \longrightarrow 0.$$

We have  $N = K_0(\mathcal{A}) = \mathbb{Z}^{\oplus 2} = \mathbb{Z}[S_1] \oplus \mathbb{Z}[S_2]$ ,

$$\langle (m_1, n_1), (m_2, n_2) \rangle = m_2 n_1 - m_1 n_2,$$

and there are isomorphisms

$$\mathbb{C}_q[[N_+]] = \mathbb{C}\langle\langle x_1, x_2 \rangle\rangle / (x_2 * x_1 - q \cdot x_1 * x_2)$$

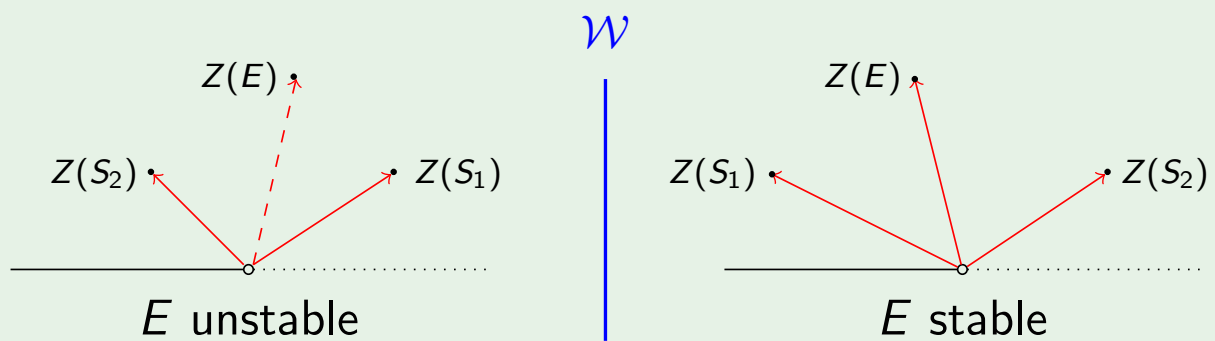
$$\mathbb{C}[[N_+]] = \mathbb{C}[[x_1, x_2]], \quad \{x_1, x_2\} = x_1 \cdot x_2.$$

# QUANTUM PENTAGON IDENTITY

The space  $\text{Stab}(\mathcal{A})$  is isomorphic to  $\bar{\mathbb{H}}^2$  and there is a single wall

$$\mathcal{W} = \{Z \in \text{Stab}(\mathcal{A}) : \text{Im } Z(S_2)/Z(S_1) \in \mathbb{R}_{>0}\}$$

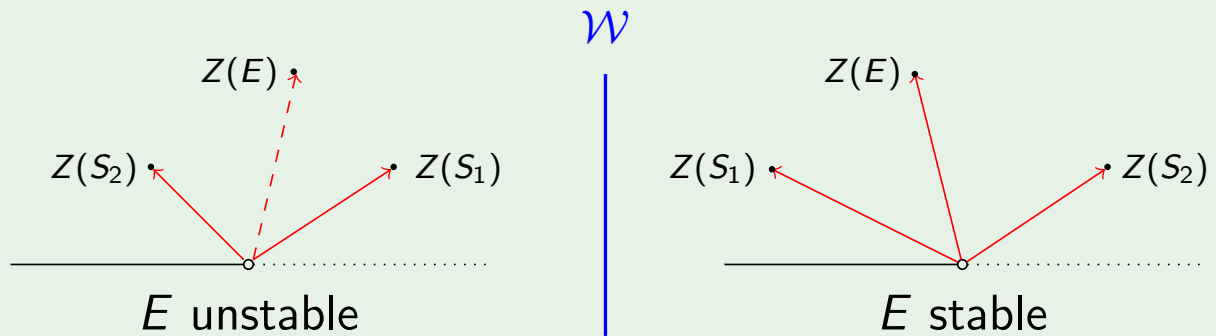
where the object  $E$  is strictly semistable.



The wall-crossing formula in  $\mathbb{C}_q[[N_+]]$  becomes the pentagon identity

$$\Phi_q(x_2) * \Phi_q(x_1) = \Phi_q(x_1) * \Phi_q(\sqrt{q} \cdot x_1 * x_2) * \Phi_q(x_2).$$

## SEMI-CLASSICAL VERSION



The semi-classical version of the wall-crossing formula is the cluster identity

$$C_{(0,1)} \circ C_{(1,0)} = C_{(1,0)} \circ C_{(1,1)} \circ C_{(0,1)}.$$

$$C_\alpha: x^\beta \mapsto x^\beta \cdot (1 + x^\alpha)^{\langle \alpha, \beta \rangle} \in \text{Aut } \mathbb{C}[[x_1, x_2]].$$

It can be viewed in the group of birational automorphisms of  $(\mathbb{C}^*)^2$ .

## 4. Stability in triangulated categories

# STABILITY IN TRIANGULATED CATEGORIES

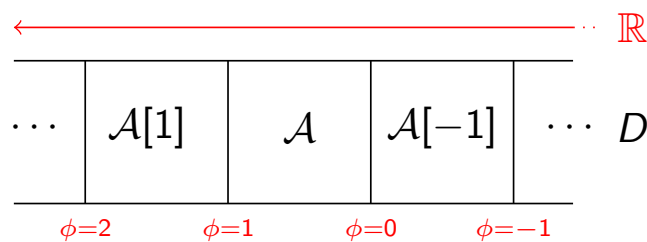
Let  $D$  be a triangulated category.

## DEFINITION

A stability condition on  $D$  is a pair  $(Z, \mathcal{A})$  where

- (I)  $\mathcal{A} \subset D$  is a heart,
  - (II)  $Z: K_0(\mathcal{A}) \rightarrow \mathbb{C}$  is a group homomorphism,
- such that  $Z$  defines a stability condition on  $\mathcal{A}$  with the HN property.

An object  $E \in D$  is defined to be semistable if  $E = A[n]$  for some  $Z$ -semistable  $A \in \mathcal{A}$ . The phase of  $E$  is then  $\phi(E) := \phi(A) + n$ .



## SPACE OF STABILITY CONDITIONS

We consider only stability conditions satisfying the extra conditions

(A) The central charge  $Z: K_0(D) \rightarrow \mathbb{C}$  factors via our fixed map

$$\text{ch}: K_0(D) \longrightarrow N \cong \mathbb{Z}^{\oplus n}.$$

(B) There is a  $K > 0$  such that for any semistable object  $E \in D$

$$Z(E) \geq K \cdot \|\text{ch}(E)\|.$$

The set  $\text{Stab}(D)$  of such stability conditions has a natural topology.

### THEOREM

*Sending a stability condition to its central charge defines a local homeomorphism*

$$\text{Stab}(D) \longrightarrow \text{Hom}_{\mathbb{Z}}(N, \mathbb{C}) \cong \mathbb{C}^n.$$

*In particular,  $\text{Stab}(D)$  is a complex manifold.*