

# **Regulation XV: General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates**

## **Scope of these Regulations**

1. These Regulations apply to:

Master's Degrees in all Faculties (except Integrated Master's Degrees)

Postgraduate Diplomas in all Faculties

Postgraduate Certificates in all Faculties

And to the coursework element of:

the Degree of EdD

the Degree of DClintDent

the Degree of DClintPsy

the Degree of DDSc

the Degree of DEdCPsy

the Degree of DEdPsy

the Degree of DMedSci

the Degree of EngD

PhD with Integrated Studies

2. These Regulations are subject to provisions relating to a specific Higher Degree, and to the General Regulations for Higher Degrees by Research, where a programme of study includes a programme of research and a thesis, provided that where any programme of study is designated as a non-modular programme, Regulations 13 to 19 will not apply to that programme.

## **Minimum Qualifications for Admission as a Student**

3. A person may be admitted as a student who:

(a) is a recognised graduate and who has attained a standard at least equivalent to that of a good Honours Degree in a subject related to that of the proposed programme of study; *or*

(b) has passed a qualifying examination in such a subject at a standard equivalent to FHEQ Level 6 and at a time and subject to such conditions (which may include attendance for an appropriate programme of study) as may be prescribed by the Faculty; *or*

(c) has been deemed qualified for admission as a student by the Faculty; *or*

(d) being a student for a Postgraduate Diploma, is permitted by the Faculty to transfer to candidature for a Master's Degree in the same subject.

## **Programmes of Study**

4. For each Higher Degree by coursework (or by coursework and dissertation), the Senate will on

the recommendation of the Faculty make Regulations providing for one or more programmes of study leading to the award of the Degree.

5. The Regulations for each programme of study will specify the units required of or available to students, and may specify other requirements (which may include requirements assigned no value in terms of credits) to be met by a student before successful completion of the programme of study. Where the Regulations for a modular programme of study require a dissertation, the dissertation will be assigned a value of not more than 90 credits. The availability of units specified in the Regulations for a programme of study is subject to the conditions as to pre-requisites and unacceptable combinations of units published on the authority of the Senate. Where a student is required to register for a unit, that registration may only be amended with the permission of the Faculty, on the recommendation of the Head of Department/School.
6. A reference in a Regulation to a particular programme of study or unit does not mean that the programme or unit will necessarily be available in any particular session. The availability of any unit may be subject to conditions as to the minimum or maximum size of classes, the availability of accommodation, timetabling restraints, and, where the Regulations for a programme of study contain requirements satisfaction of which depends upon the provision of facilities by another institution or body, the agreement of that institution or body.
7. Where a Regulation enables or requires a student to make a choice, the student's choice requires approval. The approval of the Head of the Department/School responsible for the programme of study is required for the subject of a special topic, special study, dissertation, extended essay or project and, where the Regulations require a student to make a choice between units, for the student's choice of units. Where the programme of study is the responsibility of more than one Department/School, the Heads of those Departments/Schools will agree on the procedure for giving such approval and publish it to students. Except where these Regulations or the Regulations for a particular programme of study provide otherwise, the choice of a unit as an unrestricted unit within a programme of study requires the approval only of the Head of the Department/School offering the unit.
8. A student may amend the registration in respect of any semester with the consent of the Head of Department/School but not after the end of the third week of the relevant semester. Amendment to registration after the end of the third week of the relevant semester will be made only with the permission of the Faculty.
9. A student may, with the permission of the Faculty, transfer to another programme of study. The Faculty will determine in each case the extent to which parts of the original programme already completed by a student will be treated as forming part of the new programme. Where parts of the original programme are so treated the credits and grades (including any fail grades) already obtained by the student will be counted in respect of the new programme. Where parts of the original programme are not so treated, the fact that the student has previously taken the relevant units and the grades and credits (if any) awarded will be disregarded for the purposes of these Regulations except those dealing with time-limits and transcripts. A transfer from one Faculty to another requires the permission of both Faculties concerned. Permission to transfer will not imply the waiver of any requirements as to prerequisites.

### **Recognition of Credits and Examinations**

10. The Faculty may recognise credits awarded or examinations passed in other institutions as equivalent to credits awarded under the Regulations of this University, provided that the work

attracting the credits has not been and will not be submitted for any other degree, except as permitted by the Senate in the case of collaborative dual/double award programmes, and that a higher Degree may not, without the special permission of the Senate, be awarded to any student who has not been awarded:

- (a) in the case of Degrees and Postgraduate Diplomas and Postgraduate Certificates wholly awarded by the University, at least one-half of the required number of credits under those Regulations;
- (b) in the case of Degrees wholly awarded by the University in respect of a programme of study that is jointly developed and delivered in conjunction with one or more partner institutions, at least one-third of the required number of credits under those Regulations;
- (c) in the case of Postgraduate Diplomas and Postgraduate Certificates wholly awarded by the University in respect of a programme of study that is jointly developed and delivered in conjunction with one or more partner institutions, at least one-half of the required number of credits under those Regulations;
- (d) in the case of jointly awarded Degrees, at least one-third of the required number of credits under those Regulations;
- (e) in the case of jointly awarded Postgraduate Diplomas and jointly awarded Postgraduate Certificates, at least one-half of the required number of credits under those Regulations.

**“Credits awarded under the Regulations of this University”** includes credits awarded in respect of a part of a programme of study which a student registered in this University is permitted or required by the Regulations to complete away from the University.

### **Aegrotat Cases**

11. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing an examination but are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the examination, they may recommend that the student be deemed to have passed the examination and that the student be awarded the credits assigned to relevant units but without the award of a grade. The credits awarded in respect of such units will not be taken into account in determining the student’s eligibility for the award of merit or distinction.
12. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing a substantial part of the Examination for a Degree, and are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the Examination, they may recommend that the student be awarded an Aegrotat Degree.

### **Minimum Period of Study**

13. The programme of study and research for a Master’s Degree by coursework (or coursework and dissertation) will be not less than one year for a full-time student and not less than two years for a part-time student. Minimum periods of study for the Degrees of EdD, DClintDent, DClintPsy, DDSc, DEdCPsy, DEdPsy, DMedSci, EngD and PhD with Integrated Studies are specified in the Code of Practice for Research Degrees.

## Grades

14. The Examiners will award in respect of each unit or other part of the programme of study a grade expressed as a whole number on the numerical scale set out in Regulation 44(b) of the General Regulations for First Degrees.

## Award of Credits

15. A student who satisfies the Examiners in the examination for a unit or other part of a programme of study will be awarded the credits assigned to that unit or other part.
16. A student who has been awarded credits in respect of any unit or other part of the programme of study may not repeat the examination for that unit or other part.

## Credits Required for a Master's Degree of Postgraduate Diploma or Certificate

17. A student who is awarded 180 credits will be eligible for a Master's Degree. Where the Regulations for a Master's Degree make provision for a related Postgraduate Diploma or Postgraduate Certificate, a student who is awarded 120 credits will be eligible for the award of Postgraduate Diploma, and a student who is awarded 60 credits will be eligible for the award of Postgraduate Certificate. Calculation of credits awarded for the Postgraduate Certificate will exclude the dissertation unit.

## For students commencing their programme of study prior to September 2022

18. Where a student has not met the full credit requirements in Regulation 17, the Examiners may in their discretion recommend that a student with failed credits has satisfied the requirement for a Master's Degree where they have:
  - (a) passed at least 165 credits; *and*
  - (b) achieved an average grade of 50 or above; *and*
  - (c) achieved a grade of 40 or above in the failed credits necessary to meet the credit requirements for the award of Master's Degree.
19. Where a student has not met the full credit requirements in Regulation 17, the Examiners may in their discretion recommend that a student with failed credits has satisfied the requirement for a Postgraduate Diploma where they have:
  - (a) passed at least 105 credits; *and*
  - (b) achieved an average grade of 50 or above; *and*
  - (c) achieved a grade of 40 or above in the failed credits necessary to meet the credit requirements for the award of Postgraduate Diploma.

In the case of a student on a Master's Degree programme who is being considered for the award of Postgraduate Diploma, calculation of the average grade and the minimum mark requirements laid out in (b) and (c) will be based on the best 120 graded credits. Additional credits taken will be excluded.

All calculations will include the dissertation unit where this forms part of the best 120 credits.

## Distinctions and Merits

20. The Examiners may in their discretion recommend the award of a mark of distinction or merit to a student registered for a Master's degree, such that:
  - (a) a student who achieves a weighted mean grade of not less than 69.5 in the

- Examination as a whole and a grade of not less than 70 in units to the value of not less than 90 credits may be recommended for the award of the Degree with distinction; *and*
- (b) a student who achieves a weighted mean grade of not less than 59.5 in the Examination as a whole and a grade of not less than 60 in units to the value of not less than 90 credits may be recommended for the award of the Degree with merit.
21. The Examiners may in their discretion recommend the award of a mark of distinction or merit to a student registered for a Postgraduate Diploma, such that:
- (a) a student who achieves a weighted mean grade of not less than 69.5 in the Examination as a whole and a grade of not less than 70 in units to the value of not less than 60 credits may be recommended for the award of the Postgraduate Diploma with distinction; *and*
- (b) a student who achieves a weighted mean grade of not less than 59.5 in the Examination as a whole and a grade of not less than 60 in units to the value of not less than 60 credits may be recommended for the award of the Postgraduate Diploma with merit.
22. The Examiners may in their discretion recommend the award which, having regard to all the evidence before them, best reflects the overall performance of the student notwithstanding Regulations 20 and 21 above.

### **Dissertations**

23. Where a programme of study includes a dissertation, the dissertation will be of a length and be presented in typed form not later than the time prescribed by the Head of Department/School.
24. A student will state in the dissertation the sources from which the information it contains is derived and the extent to which the student has made use of the work of others.
25. A student may not submit a dissertation a substantial part of which consists of material which has been submitted for any other Degree or qualification.

### **Failure**

#### **For students commencing their programme of study after September 2022**

26. The Examiners may in their discretion recommend that a student is awarded a compensated pass in failed units where they have:
- (a) passed at least 165 credit (Master's Degree) or 105 credits (Postgraduate Diploma);  
*and*
- (b) achieved an average grade of 50 or above; *and*
- (c) achieved a grade of 40 or above in each of the failed units.

In the case of a student for a Master's Degree who is being considered for the award of a Postgraduate Diploma, calculation of the average grade and the minimum mark requirements laid out in (b) and (c) will be based on the best 120 graded credits. Additional credits taken will be excluded.

All calculations will include the dissertation unit where this forms part of the best 120 credits.

27. Compensated units will be awarded credit but will retain the original grade.

### Reassessment

28. A student who fails to satisfy the Examiners in respect of any unit or other part of the programme of study (including the dissertation if any) and who has not been recommended for the award of a Master's Degree or a Postgraduate Diploma may (subject to the Regulations for the particular programme of study and to the General Regulations as to the Progress of Students) enter for a subsequent examination in respect of the unit or submit a revised dissertation on one occasion only. A student satisfying the Examiners in a subsequent examination or in respect of a revised dissertation will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following the subsequent examination is lower than that achieved on the first occasion, the higher grade will be awarded.
29. The Faculty may prescribe conditions to be satisfied by the student, and in the absence of any other provision, the re-examination or re-submission will be within one year of the notification of the first result.
30. Regulations for the Degrees of DCLinPsy, DDSc, and EngD where a student fails to satisfy the Examiners in respect of the thesis are included in the Regulations relating to specific Higher Degrees by research.

### Time-Limits and Extensions

31. Except with the permission of the Faculty, or where the Regulations for a particular programme of study provide otherwise:
  - (a) a full-time student must complete the programme of study for a Master's Degree within a period one year greater than the minimum required to complete the programme of study; *and*
  - (b) a part-time student must complete the programme of study for a Master's Degree within a period of two years greater than the minimum required to complete the programme of study.

Any period during which the Faculty has granted a student leave of absence will be ignored in calculating the time-limit.

Applicable time-limits for full-time and part-time students may, for good reason, be extended by not more than one year by the Faculty and, in exceptional cases, by a further period by the Senate on the recommendation of the Faculty. In any case in which the Faculty refuses to extend or recommend the extension of a time-limit, the Senate will consider the case (including the views of the Faculty) and may grant the extension.

32. Time limits for the Degrees of DCLinPsy, DDSc, and EngD are included in the Regulations relating to specific Higher Degrees by research.

## General Regulations for Higher Degrees by Research

### Scope of these Regulations

1. These regulations apply to:
  - the Degree of PhD in all Faculties
  - the Degree of PhD with Integrated Studies (in respect of the programme of research and thesis)

- the Degree of PhD with integrated taught award
- the Degree of PhD and EngD undertaken in an EPSRC Doctoral Training Centre
- the Degree of EdD (in respect of the programme of research and thesis)
- the Degree of DCLinPsy (in respect of the programme of research and thesis)
- the Degree of DSc
- the Degree of DEdPsy (in respect of the programme of research and thesis)
- the Degree of DEdCPsy (in respect of the programme of research and thesis)
- the Degree of DMedSci (in respect of the programme of research and thesis)
- the Degree of DSpecMed (Dermatology)
- the Degree of EngD
- the Degree of MD
- the Degree of MPhil in all Faculties
- the Degree of MPhil with integrated taught award
- the Degree of MSc(Res) (in respect of the research project)
- the Degree of LLM by research
- the Degree of MMus by research

2. These Regulations are subject to Programme Regulations relating to a specific Higher Degree, and/or to the General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates where a programme contains coursework elements.

### **Minimum Qualifications for Admission as a Student**

3. Applicants must hold an honours degree related to the proposed area of research, hold an equivalent qualification, or be deemed by the Faculty, and must satisfy the University that they are fit by reason of their ability, experience and training to undertake the Degree.

### **Student Classification**

4. A person may be admitted as a student in one of the following categories, unless stated otherwise in the relevant Programme Regulations:
  - (a) full-time student candidate; *or*
  - (b) part-time student candidate; *or*
  - (c) University staff candidate.
5. A full-time or part-time student candidate may apply for admission as a University staff candidate at any time within one year after the commencement of the programme of research, on the proviso that any checks that may be required on their employment, application or immigration status can be completed and cleared within this period.

### **Change of Candidature**

6. Where a student ceases to fall within the category that was applicable at the time of admission but desires to complete the programme of study or research, the student may be permitted to do so by a change of candidature under conditions approved in each case by the

Senate, other than those admitted under a remote location scheme approved by the Senate.

### **Supervisors**

7. One or more Supervisors will be appointed by the Senate on the recommendation of the Faculty for each student. At least one Supervisor will be a member of the Academic Staff of the University.
8. In the case of a Joint or Double Award arrangement, at least one additional Supervisor will be appointed by the partner institution.
9. The supervision of any candidate (other than one admitted under a remote location scheme approved by the Senate) will be personal and not by correspondence only. The candidate will meet the Supervisor at such frequent and regular intervals as the Faculty may direct.

### **Research away from the University**

10. A full-time or part-time student may pursue the whole or part of the programme of research at a specified place away from the University, in accordance with a remote location scheme approved by the Senate, or a Memorandum of Agreement for a remote location scheme with a specific institution.

To apply for a remote location scheme, students must:

- (a) be an International student not resident in the UK; *and*
- (b) meet the normal entry requirements for the programme of research for which they are applying; *and*
- (c) accept adequate supervisory arrangements for the research scheme acceptable to the relevant faculty of the University; *and*
- (d) prove access to the necessary library, computing and other research facilities required to successfully undertake the programme of research within the required period.

Approval to study as a remote location student can normally be sought during the application process and will not normally be given to students who are already registered as full-time or part-time students of the University. Remote location students must not spend more than eight consecutive weeks at the University at any time during the course of their degree (schemes agreed with specific institutions may set out further specifications relating to the amount of time spent at the University).

### **Recognition of Attendance at Other Institutions**

11. The Senate may recognise, as part of the period of research, some or all of the time already spent in another institution by a student under approved supervision on research relevant to the subject of the thesis, provided that:
  - (a) the work done during that time has been approved by the Faculty as of sufficiently high standard; *and*
  - (b) the work has not been and will not be submitted for any other Degree; *and*
  - (c) the remaining period of research is normally at least the minimum period of study.

### **Period of Study, Registration, Time-Limits and Tuition Fee Payment**

12. Periods of study and time-limits for each programme of research are set out in the *Code of Practice for Research Degree Programmes*.



13. Time-limits for the presentation of theses will be calculated from the date of the student's initial registration at the commencement of the programme of research unless an extended time-limit is approved by Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar and/or on the recommendation of the funder).
14. The normal period of registration and tuition fee payment, as specified in the *Code of Practice for Research Degree Programmes*, applies unless an extended time-limit is approved by Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar and/or on the recommendation of the funder).

### **Extensions**

15. Time-limits may, for good reason, be extended for one year by the Faculty, and in exceptional cases, by a further period which would not normally exceed an additional year, by the Senate on the recommendation of the Faculty.

### **Leave of Absence**

16. Leave of absence from studies may, for good reason, be granted for up to two years by the Faculty, and in exceptional cases by a further period by the Senate on the recommendation of the Faculty.

### **Doctoral Development Programme**

17. A student will undertake a Training Needs Analysis at the start of each year (or other appropriate period of study) in consultation with the supervisory team, in order to identify the additional skills and experience required to meet the levels of competence outlined in the Core Competencies.
18. A student will undertake an individual programme of training and skills development 'Doctoral Development Programme' during each year (or other appropriate period of study) based on the outcomes of the Training Needs Analysis, to be agreed in consultation with the supervisory team subject to the approval of the Head of Department/School and the Faculty.
19. A student will not be permitted to pass the Confirmation Review (if required for the programme of research), unless the student has presented a portfolio demonstrating that they have undertaken the agreed programme of training and skills development and have achieved an appropriate level of competency as agreed by the supervisory team.
20. The submission of a Data Management Plan is required for confirmation of candidacy of all students.
21. A student will not be awarded the degree unless the Faculty has confirmed the student's satisfactory completion of the Doctoral Development Programme.
22. Where a student fails to successfully complete a part or parts of the programme of training and skills development, the Faculty may permit the student to repeat that part or parts, or to undertake other training or skills development, in each case on such conditions as the Faculty may determine.

## Theses

23. A student for a Higher Degree by Research will, on the completion of the programme of research:
  - (a) present a thesis containing the results of the student's research and showing the sources from which the information it contains is derived and the extent to which the student has made use of the work of others; *and*
  - (b) pass an oral examination in matters relevant to the subject of the thesis.

At the oral examination, no person other than the student and the Examiners may be present except with the agreement of those persons.
24. The language of the thesis will be English. Exceptionally, and with the permission of the Faculty, a student may present their thesis in a language other than English where this is of demonstrable significance to the impact and dissemination of the research.
25. Where the programme of research leads to the production of material additional to the thesis, such as creative work or supporting material submitted using other forms of media, the thesis will clearly present the additional material in its relevant context and will be accompanied by a permanent record of the additional material, where practicable, included with the thesis.
26. A thesis may not be submitted before the completion of the minimum period of the programme of research for the relevant Degree, as specified in the *Code of Practice for Research Degree Programmes*.
27. A student may not submit a thesis a substantial part of which consists of material which has been submitted for any other Degree or qualification unless exceptions are specified in the Programme Regulations
28. A student will submit alongside the thesis:
  - (a) a declaration concerning access to and reproduction of the thesis;
  - (b) a summary, not exceeding 300 words in length, which may be drawn up in consultation with the Supervisor.
29. If, on the recommendation of the Examiners, a student is required to submit a revised thesis, such a submission will be within one year of the date of notification of the first result.
30. For first submission and resubmission, a student is required to submit the thesis to Turnitin, according to the procedure operating in the relevant department/school (Turnitin is a text matching tool that is licensed for use in the University of Sheffield as an aid in the prevention of unfair means). The version of the thesis submitted to Turnitin must be an exact copy of the version submitted for examination.
31. For first submissions and resubmissions, the thesis must be submitted to the University online, following the procedures outlined in the *Code of Practice for Research Degree Programmes*. Once submitted, the examination process will be deemed to have commenced and the thesis cannot normally be retracted.
32. When a thesis has been approved following examination, an electronic version of the thesis is required to be submitted to the institutional repository (White Rose eTheses Online). Where the thesis is incomplete or has been edited to remove sensitive material or material that has not been copyright cleared, a complete electronic copy must also be submitted to the University Library, following the procedures outlined in the *Code of Practice for Research Degree Programmes*.

33. The award of any qualification will be withheld until the requirements for award of degrees, as stipulated in the *Code of Practice for Research Degree Programmes*, have been completed.

### **Examiners**

34. At least two Examiners will be appointed to report to the Faculty on the thesis and oral examination. At least one Examiner will be an External Examiner. Prior to appointment an Examiner will declare any conflict of interest with respect to the student or their research project.

The following special provisions apply to particular categories of student:

- (a) in the case of a University Staff candidate at least two External Examiners will be appointed;
- (b) in the case of a University Staff candidate holding a non-established appointment arising from external financing, one Examiner may be a member of the Academic Staff of the University other than the grant-holder; at the discretion of the Vice-President, the grant-holder may be appointed as an additional Examiner.

### **Regulations for the Degree of PhD in all Faculties**

1. A person may be admitted as a student in one of the following categories:
  - (a) full-time student candidate;
  - (b) part-time student candidate;
  - (c) University Staff candidate.
2. For students commencing the programme of study and research on or after 1 August 2019:

a student's registration for the Degree of PhD will be considered probationary until such time as the candidate successfully passes the departmental/school Confirmation Review. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The first attempt must be completed no later than 12 months from the student's initial registration for full-time students. If the decision to pass a student is deferred pending a second attempt, a final decision must be taken no later than 18 months from the students' initial registration for full-time students (pro-rata for part-time students). A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.

Where exceptions to the above timescales are required, these must be set out in the programme Regulations.

### **Period of Study, Registration and Tuition Fee Payment**

3. The programme of research will be pursued for not less than the following periods unless an extended minimum period of study is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
  - (a) full-time student candidates, two years;
  - (b) part-time student candidates, four years;
  - (c) University Staff candidates, a period not less than two years determined in each case by the Senate having regard to the candidate's qualifications and the proportion of time to be spent on the research, and so the total time devoted to the research will not

be less than that required of a full-time student candidate (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 3(a) applies).

4. The normal period of registration and tuition fee payment will be as follows unless an extended period of registration and tuition fee payment is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
  - (a) full-time student candidates, three years;
  - (b) part-time student candidates, six years;
  - (c) University Staff candidates, two years (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 4(a) applies).

### **Change of Programme**

5. A student may, with the permission of the faculty, transfer to another programme of study at the same, or lower, FHEQ level. Where a student changes from a programme in one department/school or Faculty to a programme in a different department/school or Faculty, the permission of both departments/schools or Faculties is required. Students changing programme will transfer into the new programme of study at the same point in their registration. The student's normal period of registration and time limit will therefore remain unchanged, unless the new programme of study is of a different duration.

### **Theses**

6. A student will satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

### **Failure**

7. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
  - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*;
  - (b) be awarded instead a Master's Degree; *or*
  - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

## **Regulations for the Degree of PhD by Practice**

### **Theses**

1. A student for the degree of PhD or MPhil in a Faculty-approved department/school may:
  - (a) supplement a slightly reduced thesis with a practical component, with that practical

- component illustrating aspects of the thesis (for example research methodology or an element of the research findings); *or*
- (b) submit a thesis and practical outcome of equal weight, where the thesis is approximately half the length of a full thesis; *or*
  - (c) in the Department/School of Music only, submit a practice-based output as the primary component with accompanying commentary.
2. The thesis and practical component must show coherence and originality, as required for all research submissions.
  3. The practical component must demonstrate a high level of skill, involve a research inquiry and be submitted such that it forms a permanent record of the research process, as defined in the Programme Regulations.
  4. The thesis will contextualise the project, offering a retrospective analysis of the process and outcomes, and reflecting on the chosen research methodologies and/or production processes and the relation between them, where applicable.
  5. In the case of a student electing to proceed under this Regulation, any reference to the thesis includes a thesis supplemented by a practical component.

## Regulations for the Degree of PhD with Integrated Studies

1. A person may be admitted in the following category:
  - (a) full-time student candidate.
2. The programme of study and research will be pursued for not less than three years.
3. A candidate will be admitted as a student for a Master's Degree approved for this purpose and pursue the programme of study for not less than one year.
4. A student who, after completing the minimum period of study, and who has progressed satisfactorily as determined by the department/school<sup>1</sup> or as specified by the individual programme Regulation, has obtained not less than *one hundred and twenty* credits may be permitted to become additionally a student for the Degree of PhD with Integrated Studies. Such a student will:
  - (a) pursue a programme of research for not less than two years, and present a thesis for examination in accordance with the Regulations for Higher Degrees by Research.
  - (b) **(For students commencing the programme of study and research on or after 1 August 2019)**

A student's registration for the Degree of PhD will be considered probationary until such time as the candidate successfully passes the departmental/school Confirmation Review. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The first attempt must be completed no later than 12 months from the student's initial registration for full-time students. If the decision to pass a student is deferred pending a second attempt, a final decision must be taken no later than 18 months from the student's initial registration for full-time students (both deadline are pro-rata for part-time students). A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.

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<sup>1</sup> It is normally expected that a candidate should be working at the level of a Merit in line with Regulation 20 of the General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates.

Where exceptions to the above timescales are required, these must be set in the programme Regulations.

- (c) complete satisfactorily such of the additional non-credit-bearing requirements of the Regulations for the relevant programme as are specified to be met in the relevant year.
5. The time-limit for the Master's Degree will be two years. The time-limit for the presentation of the thesis for the Degree of PhD with Integrated Studies will be five years from initial registration as a student for the Master's Degree.
6. A student will satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
7. A student who completes the requirements of the programme of study and research for the Master's Degree may be awarded that Degree and a student who completes the requirements of Regulation 4 may also be awarded the Degree of PhD with Integrated Studies.
8. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
  - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
  - (b) be awarded instead a Master's Degree; *or*
  - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

### **Regulations for the Degree of PhD undertaken in an EPSRC Centre for Doctoral Training and the Degree of EngD undertaken in an EPSRC Centre for Doctoral Training**

1. A person may be admitted as a full-time or a part-time student candidate.
2. A candidate will be admitted initially as a student for the Degree of PhD/EngD or as a student for a Postgraduate Diploma, the latter being applicable only to students within the Centre for Doctoral Training in Advanced Metallic Systems and having a start date prior to 2019.
3. A student admitted initially for a Postgraduate Diploma approved for this purpose and who has obtained not less than *one hundred and twenty* credits may be permitted to become instead:
  - (a) a student for the Degree of PhD/EngD; *and where applicable also*
  - (b) a student for an appropriate Postgraduate Diploma in professional skills, as specified in the Programme Regulations.
4. A student for the Degree of PhD/EngD and, in the case of students within the Centre for Doctoral Training in Advanced Metallic Systems having a start date prior to 2019, also for the appropriate Postgraduate Diploma in professional skills, will:
  - (a) pursue the programme of research for not less than three years on a full-time basis; *and*
  - (b) pursue the appropriate Postgraduate Diploma in professional skills on a part-time basis.
5. A student who successfully completes the requirements of the programme of study and research for the Degree of PhD/EngD may be awarded that Degree, and a student who

successfully completes the requirements of the programme of study for the appropriate Postgraduate Diploma in professional skills may be awarded that Diploma.

## **Regulations for the Degree of PhD by Publication in all Faculties**

1. A person may be admitted as a candidate in the following category:
  - (a) University Staff candidate.
2. A person may be admitted as a candidate who:
  - (a) is a member of staff of at least two years' standing, *or* is the holder of at least two years' standing of an Honorary Academic Title conferred by the University; *and*
  - (b) is not a member of staff solely as a condition of external funding and who would otherwise have been admitted as a full-time student candidate; *and*
  - (c) has been deemed qualified for admission by the Faculty; *and*
  - (d) at the point of admission, is required to have a contract of employment that covers the full period of registration, i.e. 12 months.
3. A candidate may, with the permission of the Senate, transfer registration from the Degree of PhD to registration for the Degree of PhD by Publication; the power to grant this permission may be devolved to Faculties where the Senate deems this appropriate.
4. The normal period of registration will be no longer than 12 months.
5. The time-limit for the presentation of the published work will be 12 months.
6. An academic advisor will be appointed to provide guidance to the candidate on the presentation of the published work. The academic advisor will be a senior member of the academic staff of the University who is familiar both with the standard required and with the candidate's field of work.
7. A candidate who submits published work for examination for a doctoral degree will:
  - (a) submit the published work (which will normally include only work published in scholarly books and journals within the last eight years);
  - (b) submit a substantial commentary not exceeding 15,000 words, linking the published work and outlining its coherence and significance;
  - (c) submit a signed statement advising how far the work submitted is based on the candidate's own independent study, making it clear, for each publication, how far the work was conducted in collaboration with or with the assistance of others and the conditions and circumstances in which the work was carried out;
  - (d) submit a CV, focusing on the candidate's research career and on the circumstances under which the research work leading to the publications submitted was carried out;
  - (e) pass an oral examination in matters relevant to the subject of the published works.
8. A candidate will satisfy the Faculty that the published work shows convincing evidence of the capacity of the candidate to pursue research and scholarship and make an original contribution and substantial addition to knowledge. The amount and quality of the work should be at least equivalent to that expected for the Degree of PhD.
9. Published work submitted for the degree must be substantially different from any work that may have previously been submitted for any degree at this or any other institution.
10. At least two External Examiners will be appointed to report to the Faculty on the thesis and

oral examination. In addition, a member of academic staff will be appointed by the Faculty to act as an internal co-ordinator. Prior to appointment, an Examiner will declare any conflict of interest with respect to the candidate or their research project.

11. No person other than the candidate and the Examiners may be present at the oral examination except with the agreement of those persons.
12. A candidate who fails to satisfy the Examiners may, on the recommendation of the Examiners, be permitted on one occasion only to present a revised commentary linking the published work to address minor or major corrections, or be permitted to take a further oral examination, or both.
13. If the Senate decides on the recommendation of the Faculty that the Degree be not awarded, a candidate may not make another submission for the degree within two years of the first candidature.
14. A candidate will submit a final copy of the thesis in line with the General Regulations for Higher Degrees by Research.

### **Regulations for the Degree of MD by Publication in all Faculties**

1. A person may be admitted as a candidate in the following category:
  - (a) University Staff candidate.
2. A person may be admitted as a candidate who:
  - (a) is a member of staff of at least two years' standing, *or* is the holder of at least two years' standing of an Honorary Academic Title conferred by the University; *and*
  - (b) has been deemed qualified for admission by the Faculty; *and*
  - (c) at the point of admission, is required to have a contract of employment that covers the full period of registration, i.e. 12 months.
3. A candidate may, with the permission of the Senate, transfer registration from the Degree of MD to registration for the Degree of MD by Publication; the power to grant this permission may be devolved to Faculties where the Senate deem this to be appropriate.
4. The normal period of registration shall be no longer than 12 months.
5. The time-limit for the presentation of the published work shall be 12 months.
6. An academic advisor shall be appointed to provide guidance to the candidate on the presentation of the published work. The academic advisor shall be a senior member of the academic staff of the University who is familiar both with the standard required and with the candidate's field of work.
7. A candidate who submits published work for examination for a doctoral degree shall:
  - (a) submit the published work (which will normally include only work published in scholarly books and journals within the last eight years);
  - (b) submit a substantial commentary not exceeding 10,000 words, linking the published work and outlining its coherence and significance;
  - (c) submit a signed statement advising how far the work submitted is based on the candidate's own independent study, making it clear, for each publication, how far the work was conducted in collaboration with or with the assistance of others and the conditions and circumstances in which the work was carried out;
  - (d) submit a CV, focusing on the candidate's research career and on the circumstances



- under which the research work leading to the publications submitted was carried out;
- (e) pass an oral examination in matters relevant to the subject of the published works.
8. A candidate shall satisfy the Faculty that the published work shows convincing evidence of the capacity of the candidate to pursue research and scholarship and make an original contribution and substantial addition to knowledge. The amount and quality of the work should be at least equivalent to that expected for the Degree of MD.
  9. Published work submitted for the degree must be substantially different from any work that may have previously been submitted for any degree at this or any other institution.
  10. At least two External Examiners shall be appointed to report to the Faculty on the thesis and oral examination. In addition, a member of academic staff shall be appointed by the Faculty to act as an internal co-ordinator. Prior to appointment, an Examiner shall declare any conflict of interest with respect to the candidate or their research project.
  11. No person other than the candidate and the Examiners may be present at the oral examination except with the agreement of those persons.
  12. A candidate who fails to satisfy the Examiners may, on the recommendation of the Examiners, be permitted on one occasion only to present a revised commentary linking the published work to address minor or major corrections, or be permitted to take a further oral examination, or both.
  13. If the Senate decides on the recommendation of the Faculty that the Degree be not awarded, a candidate may not make another submission for the degree within two years of the first candidature.
  14. A candidate will submit a final copy of the thesis in line with the General Regulations for Higher Degrees by Research.

## **Regulations for the Degree of MPhil in all Faculties**

1. A person may be admitted as a candidate in one of the following categories:
  - (a) full-time student candidate;
  - (b) part-time student candidate;
  - (c) University Staff candidate.

### **Minimum Period of Study**

2. The programme of research will be for not less than the following periods:
  - (a) full-time student candidates, one year;
  - (b) part-time student candidates, two years;
  - (c) University Staff candidates, a period not less than one year determined in each case by the Senate having regard to the candidate's qualifications and the proportion of time to be spent on the research, and so that the total time devoted to the research will not be less than that required of a full-time student candidate.

### **Time-Limits**

3. The time-limit for the presentation of the thesis will be as follows:
  - (a) full-time student candidates, three years;
  - (b) part-time student candidates, six years;

- (c) University Staff candidates, six years.

### **Regulations for the Degree of EdD**

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
  - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
  - (b) be awarded instead a Master's Degree; *or*
  - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

### **Regulations for the Degree of DClInPsy**

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

### **Regulations for the Degree of DEdCPsy**

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

### **Regulations for the Degree of EngD**

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
  - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
  - (b) be awarded instead a Master's Degree; *or*
  - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

## Regulations for the Degree of MD

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
  - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
  - (b) be awarded instead a Master's Degree; *or*
  - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

## Regulations for the Degree of DSpecMed (Dermatology)

1. A person may be admitted as a student who:
  - (a) has a degree in Medicine from a reputable institution, followed by at least two years' experience in hospital or general medical practice, or a year of dermatology experience; *and*
  - (b) has an MSc in Dermatology from King's College, University of London, Cardiff University or University of Hertfordshire, or another reputable institution as judged by the Faculty; *and*
  - (c) has two satisfactory references from previous teaching or learning institutions and one reference from the student's funder or international sponsor.
2. A student's registration for the Degree of DSpecMed (Dermatology) will be considered probationary until such time as:
  - (a) the student successfully passes the departmental/school Confirmation Review, as outlined in the General Regulations for Higher Degrees by Research; *and*
  - (b) the student successfully passes the assessment at the end of year two.
3. Assessment at the end of year two shall include:
  - (a) Submission of a thesis to the standard expected for the Degree of MD, in accordance with the Regulations for Higher Degrees by Research; *and*
  - (b) Successful completion of an oral examination in matters relevant to the subject of the thesis; *and*
  - (c) Successful completion of an interview with a panel of experts appointed by the Faculty.
4. Students who fail to meet the requirements of assessment at the end of year two shall:
  - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
  - (b) be awarded instead a Master's Degree; *or*
  - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

5. Students who do not wish to continue to pursue the Degree of DSpecMed (Dermatology), or who do not progress satisfactorily in years 3-5 (as determined by the department/school), but who successfully complete the requirements of Regulation 3 (a) and (b), may be awarded an MD in Clinical Dermatology.
6. Students who, after completing the minimum period of study (as defined in the *Code of Practice for Research Degree Programmes*), satisfactorily complete the requirements of the course as determined by the department/school, may be awarded the Degree of DSpecMed (Dermatology).

### **Regulations for the Degree of MMus by research**

1. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.